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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Edward Y. CHANG Confirmation No: 4041  
Appl. No. : 10/699,839  
Filed : November 4, 2003  
Title : GROWTH OF GaAs EPITAXIAL LAYERS ON Si SUBSTRATE  
BY USING A NOVEL GeSi BUFFER LAYER

TC/A.U. : 2818  
Examiner : A. Huynh

Docket No.: : CHAN3228/REF  
Customer No: : 23364

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to the Notice to File Corrected Application Papers of February 8, 2007, in connection with the above-identified application. This response is timely filed.

The Notice indicates that while the above-referenced application has been accorded an Allowance Date and is being prepared for issuance, the application is incomplete due to certain informalities related to drawings. Specifically, the Notice urges that color drawings are present in the application but the requirements of 37 CFR 1.84(a) have not been met.

In response, Applicants respectfully submit that color drawings are not elected in the instant application. Additionally, Applicants submit that no references to color drawings are made in the specification and therefore no amendments removing such references are required.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Serial No. : 10/699,839  
Applicant : Chang et al.  
Filing Date : 11/4/03  
Date Mailed : 2/8/07

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

### *Notice of Allowance Mailed*

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 60 days from the mail date of this Notice within which to correct the informalities indicated below. If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to reply will result in the application being ABANDONED. **This period for reply is NOT extendable under 37 CFR 1.136(a).**

Please see attachment.

*A copy of this notice **MUST** be returned with the reply. Please address response to  
"Mail Stop Issue Fee, Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450".*

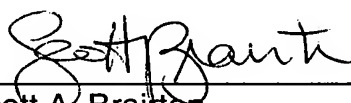
Dale G. Olson  
Office of Patent Publication  
Phone: 703-308-9250 ext.122

Appl. No. 10/699,839  
Amendment dated: April 4, 2007  
Reply to OA of: February 8, 2007

In light of Applicants statement that color drawings are not elected in the instant application, Applicants respectfully request that the instant application is complete and should therefore be promptly issued. A copy of the Notice to File Corrected Application Papers is submitted herewith.

Respectfully submitted,

BACON & THOMAS, PLLC

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SAB  
Response to Notice.wpd

April 4, 2007